

#### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS United States Patent and Trademark Office

WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Page 1 of 1

09/938,117

PASADENA, CA 91105

08/23/2001

Craig L. Ogg

42159/DRK/S850

23363 CHRISTIE, PARKER & HALE, LLP 350 WEST COLORADO BOULEVARD SUITE 500

**CONFIRMATION NO. 2154 FORMALITIES LETTER** OC000000006795309\*

Date Mailed: 09/26/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2001 AUGNDAF1 00000109 09938117

01 FC:205

65.00 OP

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October 9, 2001.

Elizabeth A. Jue

Applicant

Craig L. Ogg

Application No.:

09/938,117

Filed

August 23, 2001

Title

POSTAGE INDICIUM FRAUD DETECTION SYSTEM

Grp./Div.

2161

Examiner

Not Known

Docket No.

42159/SAH/S850

# LETTER IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231 P.O. Box 7068 Pasadena, CA 91109-7068 October 9, 2001

#### Commissioner:

In response to the Notice to File Missing Parts of Application dated **September 26, 2001**, enclosed are:

- 1. Copy of Notice to File Missing Parts of Application.
- 2. Missing Parts surcharge for \$65.00.
- 3. Executed Small Entity Declaration.
- 4. Executed Declaration and Power of Attorney.
- 5. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

## Application No. 09/938,117

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A **copy of this** letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Daniel R. Kimbel Reg. No. 34,849 626/795-9900

DRK/eaj Enclosures EAJ PAS386548.1-\*-10/9/01 2:54 PM



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Craig L. Ogg, et al.

Application No.:

09/938,117

Filed

August 23, 2001

Title

POSTAGE INDICIA FRAUD DETECTION SYSTEM

Grp./Div.

2161

Examiner

Not Known

Docket No.

42159/SAH/S850

# VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS AND REQUEST FOR PARTIAL REFUND

**Assistant Commissioner for Patents** Washington, D.C. 20231

P.O. Box 7068 Pasadena, CA 91109-7068 October 9, 2001

#### Commissioner:

Applicant hereby claims Small Entity status as of the date of payment of the fee.

A fee in the amount of \$790.00 was paid on August 23, 2001 as a non-small entity status. The excess over a small entity fee is \$355.00.

Applicant hereby requests that a refund of the excess fee be made by check with this firm as payee. Please indicate our docket number on the check. A copy of this request is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR

Daniel R. Kimbell Reg. No. 34,849

626/795-9900

DRK/eai

Enclosure: Copy of this request

EAJ PAS386554.1-\*-10/9/01 3:23 PM